SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] SAENGER BRADLEY				Name and Ticker of Pharmaceuti	0,			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) C/O TONIX PHARMACEUTICALS HOLDING CORP				f Earliest Transaction 023	on (Month/Day/	Year)	X	Officer (give title Other (
26 MAIN ST., SUITE 101				ndment, Date of Or	iginal Filed (Mo	nth/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) CHATHAM NJ 07928								X	Form filed by One I Form filed by More		ng Person		
(City)	(State)	(Zip)											
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

								Code	Code V Amount		(A) or (D)	Price	(Instr. 3 and	d 4)		· ·
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable		xpiration ate	Title	Amount or Number of Shares		Transaction(s) (Instr. 4))	
Stock Option	\$0.731	02/23/2023		Α		200,000		02/23/2024 ⁽¹) 0:	2/23/2033	Common Stock	200,000	\$0.00 ⁽²⁾	200,000	D	
Stock Option	\$0.914	02/23/2023		Α		200,000		02/23/2024 ⁽¹) 0.	2/23/2033	Common Stock	200,000	\$0.00 ⁽²⁾	200,000	D	

Explanation of Responses:

1. One-third of the option vests on the first anniversary of issuance and 1/36th each month thereafter for 24 months.

2. The option was granted pursuant to the Issuer's Amended and Restated 2020 Stock Incentive Plan.

/s/ Bradley Saenger

** Signature of Reporting Person

02/24/2023 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

